

**Woods-Saxon Potential, Shell Correction Theory
Atomic Masses and Deformation of Nuclei**

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PLAN

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1. Introduction

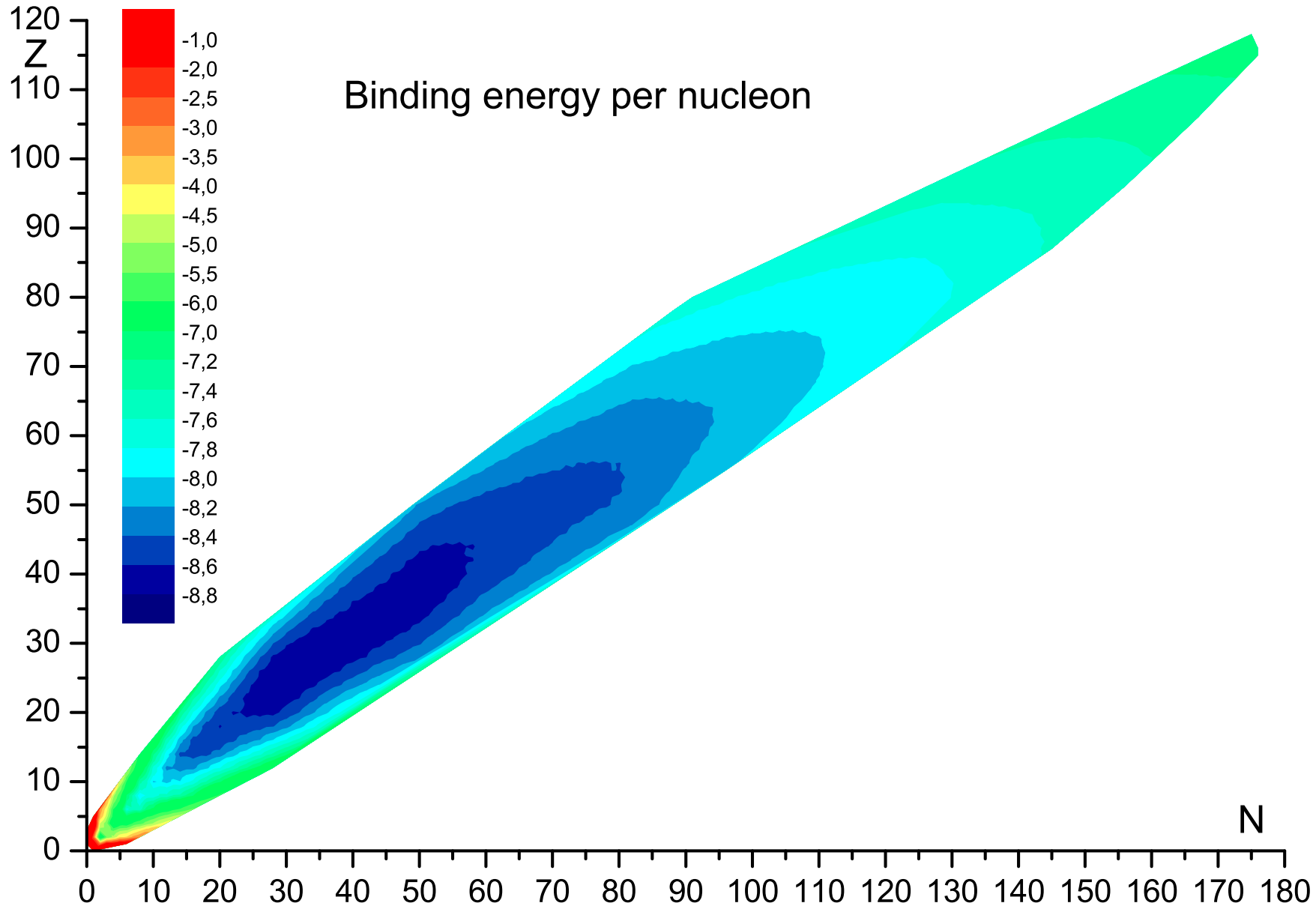
Mass of Nucleus $M_{Nucleus}c^2$ is less than the mass of all protons and all neutrons, which compose the nucleus, i.e.

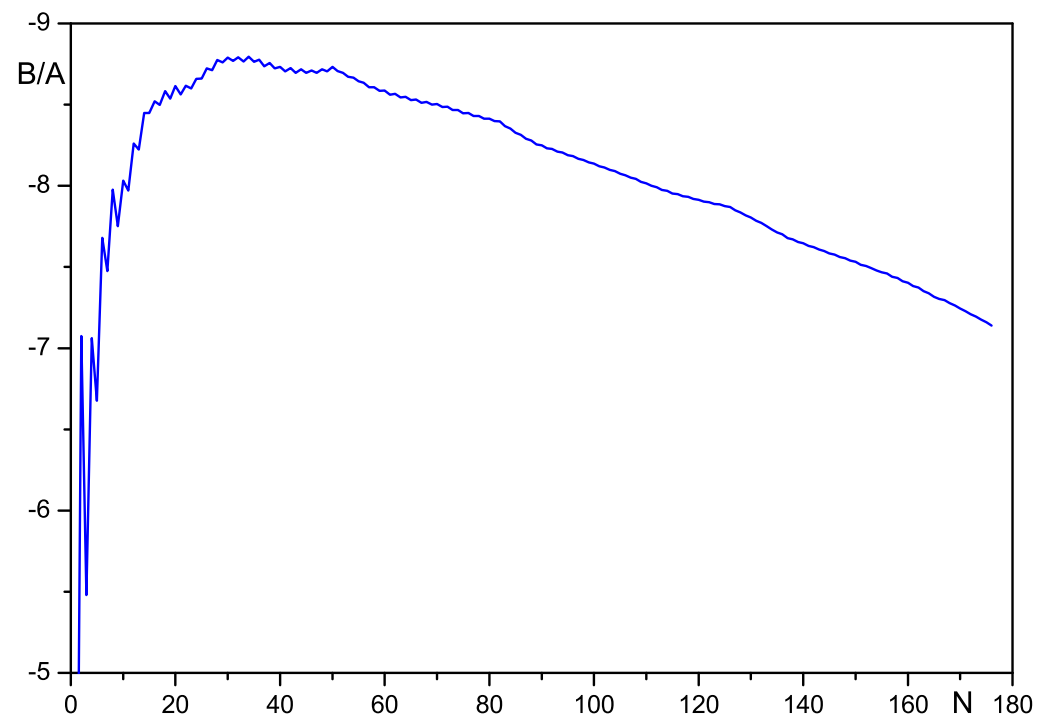
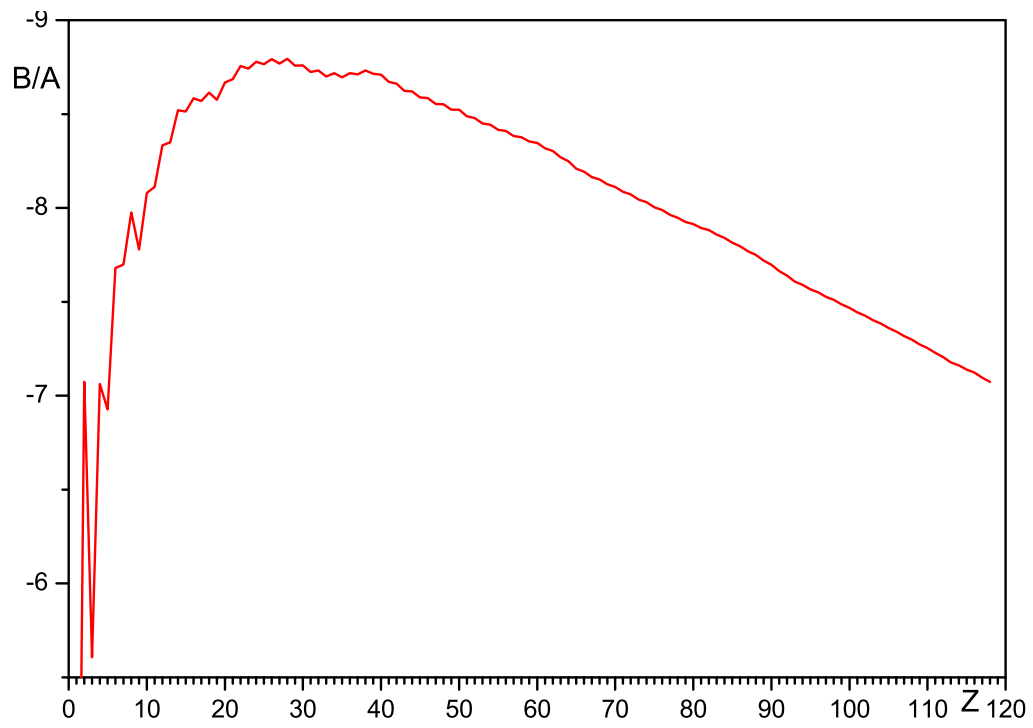
$$M_{Nucleus}c^2 < Z \cdot m_Pc^2 + N \cdot m_Nc^2.$$

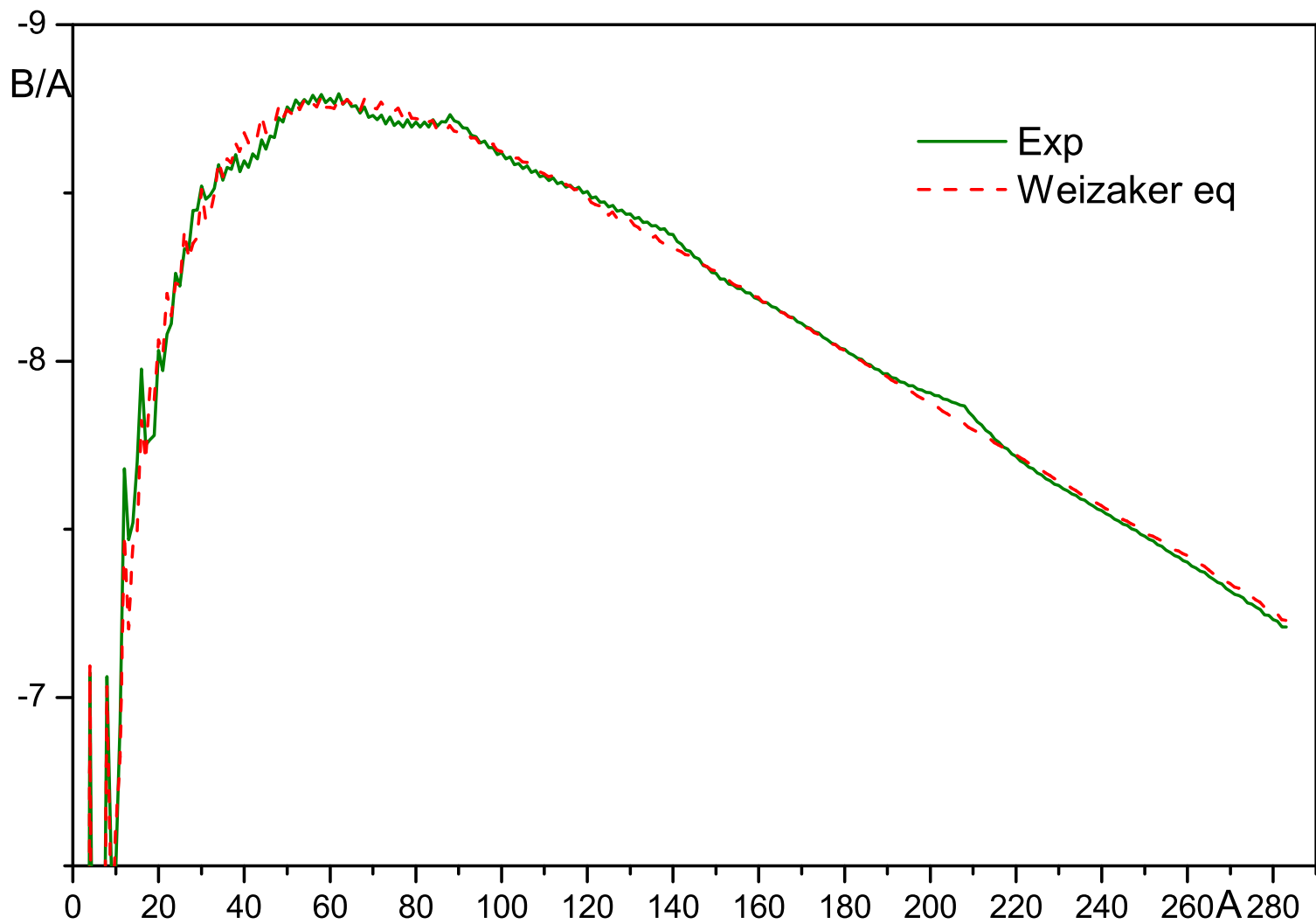
The difference of these masses is binding energy of nucleus

$$E(Z, N) = M_{Nucleus}c^2 - (Z \cdot m_Pc^2 + N \cdot m_Nc^2).$$

Binding energy per nucleon



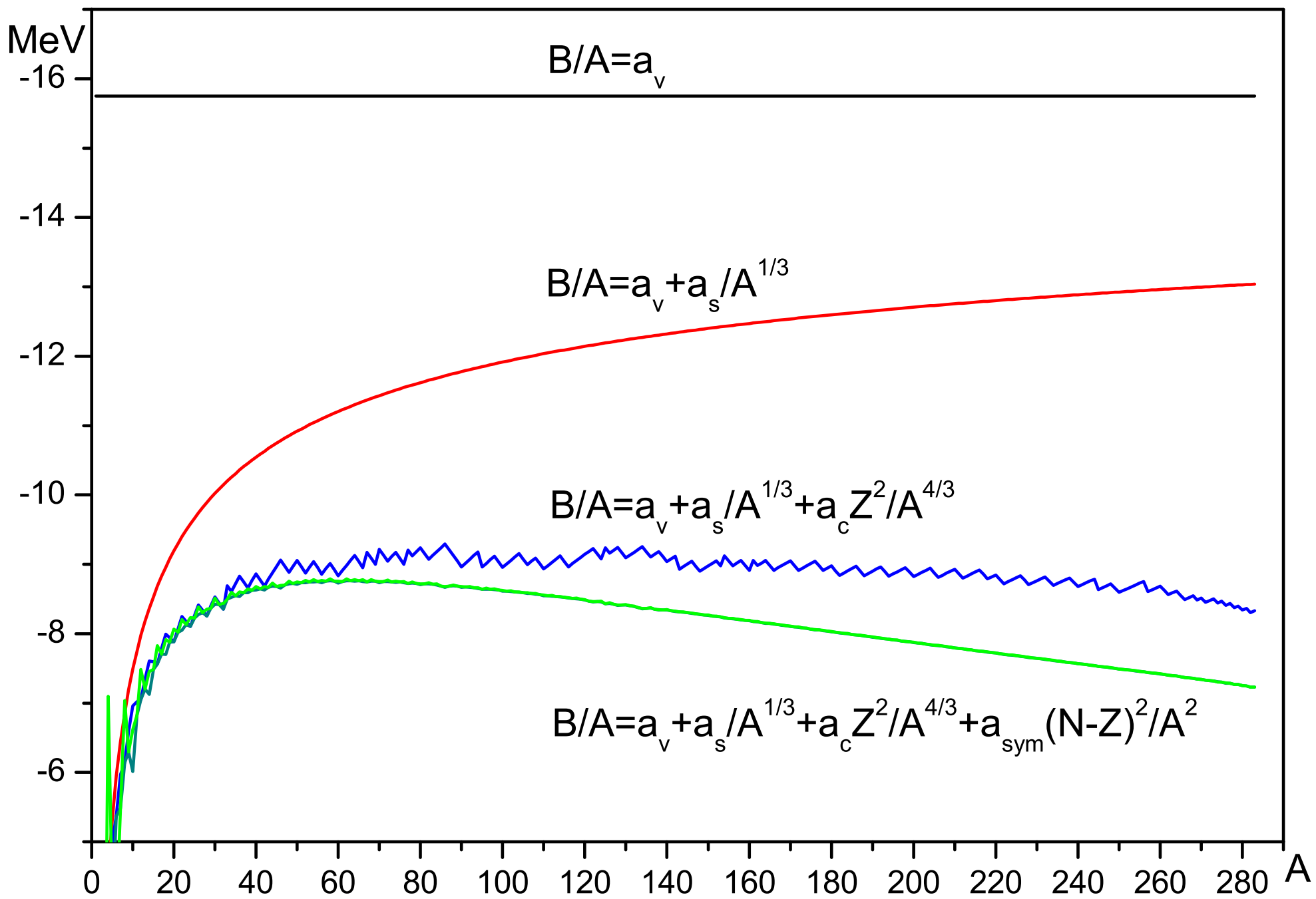




Weizäcker expression for binding energy of nuclei is

$$B = a_v A + a_s A^{2/3} + a_c \frac{Z^2}{A^{1/3}} + a_{sym} \frac{(N - Z)^2}{A} - \begin{cases} 34A^{-3/4} & \text{foreven - even} \\ 0 & \text{forodd} \\ -34A^{-3/4} & \text{forodd - odd} \end{cases} .$$

where $a_v = -15.75$ MeV, $a_s = 17.8$ MeV, $a_c = 0.71$ MeV and $a_{sym} = 23.7$ MeV.



2. Woods-Saxon Potential.

Let's consider for simplicity Schrödinger equation for proton in nucleus with Z protons

$$\left[-\frac{\hbar^2}{2m}\nabla^2 + V\right]\Psi = E\Psi$$

where

$$V = V_{\text{Coul}}(r) + V_{\text{CR}}(r) + V_{\text{SR}}(r)\hbar^2(\vec{S}\vec{L}),$$
$$V_{\text{Coul}}(r) = \begin{cases} \frac{(Z-1)e^2}{r}, & r \geq R_{\text{Coul}}, \\ \frac{(Z-1)e^2}{R_{\text{Coul}}} \left[\frac{3}{2} - \frac{r^2}{2R_{\text{Coul}}^2} \right], & r < R_{\text{Coul}}, \end{cases}$$

is the Coulomb energy,

$$V_{\text{CR}}(r) = \frac{V_0}{1 + \exp((r - R_C)/d_C)}, \quad V_{\text{LS}}(r) = \frac{d}{dr} \frac{V_{\text{SR}}}{1 + \exp((r - R_{\text{SR}})/d_{\text{SR}})}.$$

are the central and spin-orbital potentials.

If we evaluate the single-particle energy E_i and summate all energies than the energy

$$E_{\text{tot}} = \sum_i^{i_F} E_i.$$

is not equated to the binding energy of nuclei, because the potential is not self-consistent.

Note that the binding energy can be evaluated in the framework of the Hartree-Fock approximation with high accuracy. However the Hartree-Fock approximation is rather complex.

However many various quantities:

- single-particle levels,
- fission barriers, fission half-life,
- binding energies (using the shell correction approach)
- various dynamics parameters,
- energy of single-particle and excited states
- can be evaluated within the simple Woods-Saxon approximation with high precision.

So, the Woods-Saxon approximation is rather both simple and useful!

3. Strutinsky Shell Correction Theory.

Vilen Mitrofanovich Stutinsky introduced the shell-correction approach in 1965-1968

(16 October 1929, Danilova Balka, Kirovograd district, Ukraine - 28 June 1993, Roma, Italy)

Member-correspondent NASU, Head of Theoretical Nuclear Physics Department of KINR

Main idea: As we pointed that

$$E_{\text{tot}} = \sum_i^{i_F} E_i.$$

is not equated to the binding energy of nuclei, because the potential is not self-consistent,

but we consider

$$\begin{aligned} E_{\text{tot}} &= \sum_i^{i_F} \tilde{E}_i + \left[\sum_i^{i_F} E_i - \sum_i^{i_F} \tilde{E}_i \right] \\ &\quad \Downarrow \text{substitution} \Downarrow \\ &= \text{Macro Mass Formula} + \left[\sum_i^{i_F} E_i - \sum_i^{\tilde{i}_F} \tilde{E}_i \right] \\ &= \text{Macro Mass Formula} + \delta E_{\text{shell}}. \end{aligned}$$

Note Macro Mass Formula is rather accurate in general.

$\sum \tilde{E}_i$ is energy evaluated using smooth single-particle energies, averaged on energy.

The shell-model single-particle level density

$$g(E) = \sum_i \delta(E - E_i)$$

gives the single-particle energy, E_i . In this case

$$\sum_i E_i = \int dE g(E) E.$$

The smooth single-particle energy, \tilde{E} is given by the mean single-particle level density, $\tilde{g}(\epsilon)$, obtained from $g(E)$ by folding with a smoothing function $f(x)$:

$$\tilde{g}(E) = \frac{1}{\gamma} \int_{-\infty}^{+\infty} dE' g(E') f\left(\frac{E - E'}{\gamma}\right) = \frac{1}{\gamma} \sum_i f\left(\frac{E - E_i}{\gamma}\right),$$

where $\gamma \sim (1 \div 2)\hbar\Omega = (1 \div 2)E_F A^{1/3}$ is the averaging parameter, which are close to the distance between shells $8 \div 10$ MeV. So, the averaging is going over the bound single-particle states as well as over the positive-energy single-particle continuum. Therefore

$$\delta E_{\text{shell}} = \sum_i^{i_F} E_i - \int_{-\infty}^{\tilde{\lambda}} dE \tilde{g}(E) E,$$

where $\tilde{\lambda}$ is the smoothed Fermi level defined through the particle number equation:

$$N = \int_{-\infty}^{\tilde{\lambda}} dE \tilde{g}(E).$$

The folding function $f(x)$ can be written as a product

$$f(x) = \omega(x)P_p(x),$$

where

$$\omega(x) = \pi^{-1/2}\exp(-x^2)$$

is a weighting function and

$$P_m(x) = \sum_{k=0,2,\dots}^m \frac{(-1)^{k/2}}{2^k(k/2)!} H_k(x)$$

is the so-called curvature-correction polynomial of the m th order (typical values of the polynomial order are $m = 6, 8$).

The smoothed single-particle energy can be expressed in the form:

$$\tilde{E} = \int_{-\infty}^{\tilde{\lambda}} E \tilde{g}(E) dE = \sum_i E_i \tilde{n}_i + \gamma \frac{d\tilde{E}}{d\gamma},$$

where the smoothed distribution numbers are

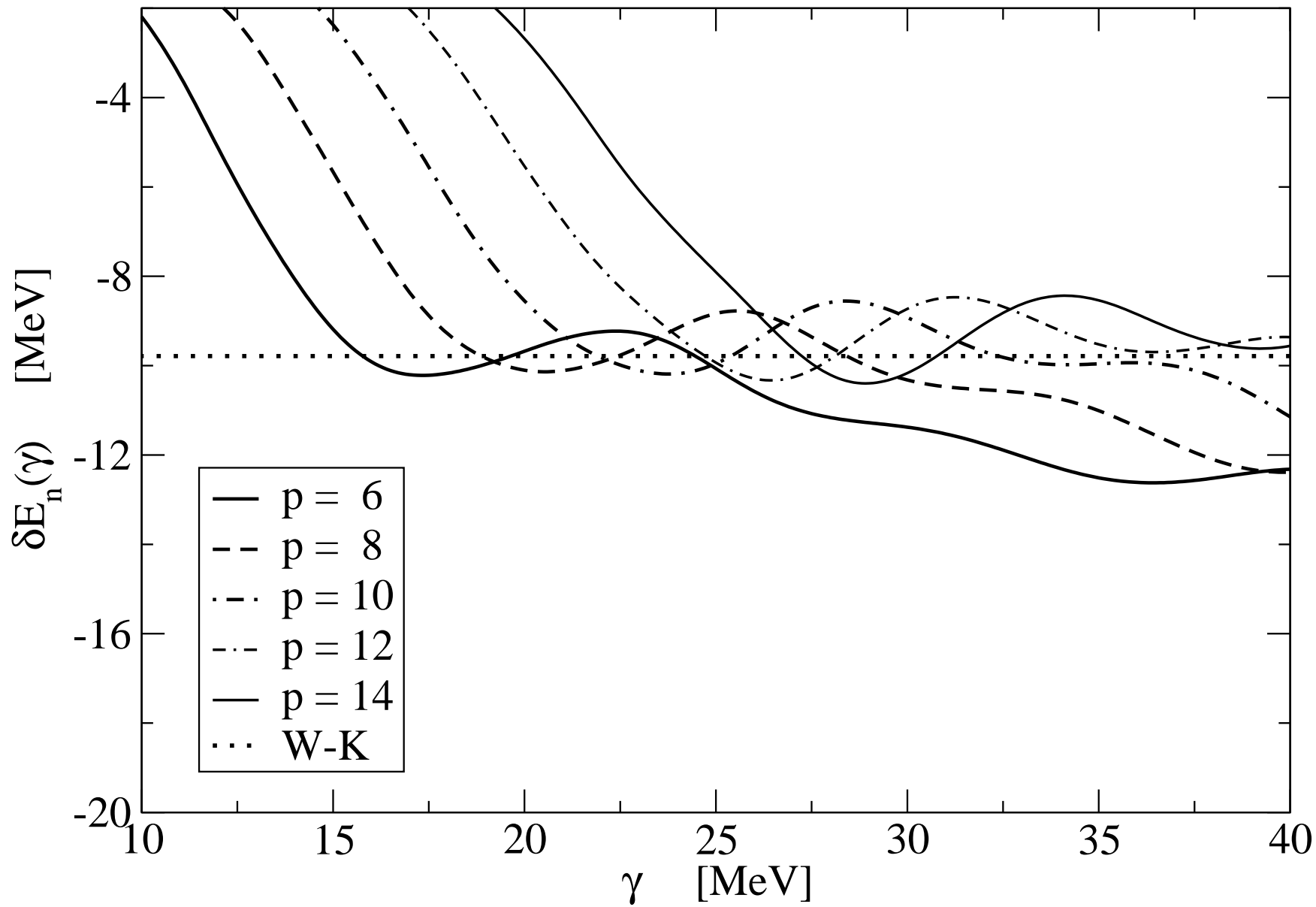
$$\tilde{n}_i = \frac{1}{\gamma} \int_{-\infty}^{\tilde{\lambda}} dE f \left(\frac{E - E_i}{\gamma} \right).$$

Since the value of \tilde{E} should not depend on the smoothing range γ (nor on the order of curvature correction m), the second term in must vanish, i.e.

$$\frac{d\tilde{E}}{d\gamma} = 0 \quad \text{and} \quad \frac{d\tilde{E}}{dm} = 0.$$

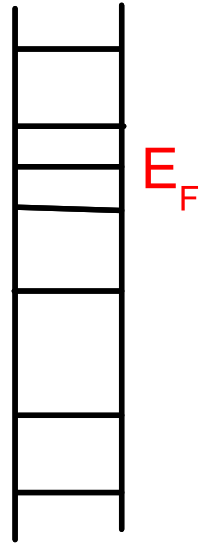
If **plateau condition** does not hold, the Strutinsky averaging method does not yield an unambiguous result.

As a rule, the accuracy of Strutinsky shell correction method (or accuracy of plateau) is ~ 0.5 MeV in very heavy nuclei and ~ 1.5 MeV in light and medium nuclei. However often such accuracy is enough. The choice of γ and m on practice defines as corresponding values where the functions $\tilde{E}(\gamma) \simeq \text{constant}$ and $\tilde{E}(m) \simeq \text{constant}$.



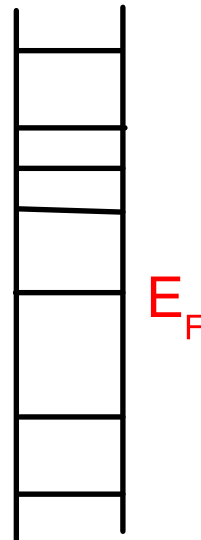
Dependence of Shell-Correction on the averaging parameter γ and order of the curvature-correction polynomial p .

Shell features $\delta E_{\text{shell}} = \sum_i^{i_F} E_i - \int_{-\infty}^{\tilde{\lambda}} dE \tilde{g}(E) E$.



energy level
density is higher
than average

System stability is
less than average
shell correction
 $\delta E_{\text{shell}} > 0$



energy level
density is lower
than average

System stability is
higher than average
shell correction
 $\delta E_{\text{shell}} < 0$

The highest value of shell correction is $\delta E_{\text{shell}} = -13 \div -14$ MeV evaluated for the ground-state of ^{208}Pb .

For comparison, the binding energy of ^{208}Pb is -1636 MeV.

The shell correction method was extremely useful.

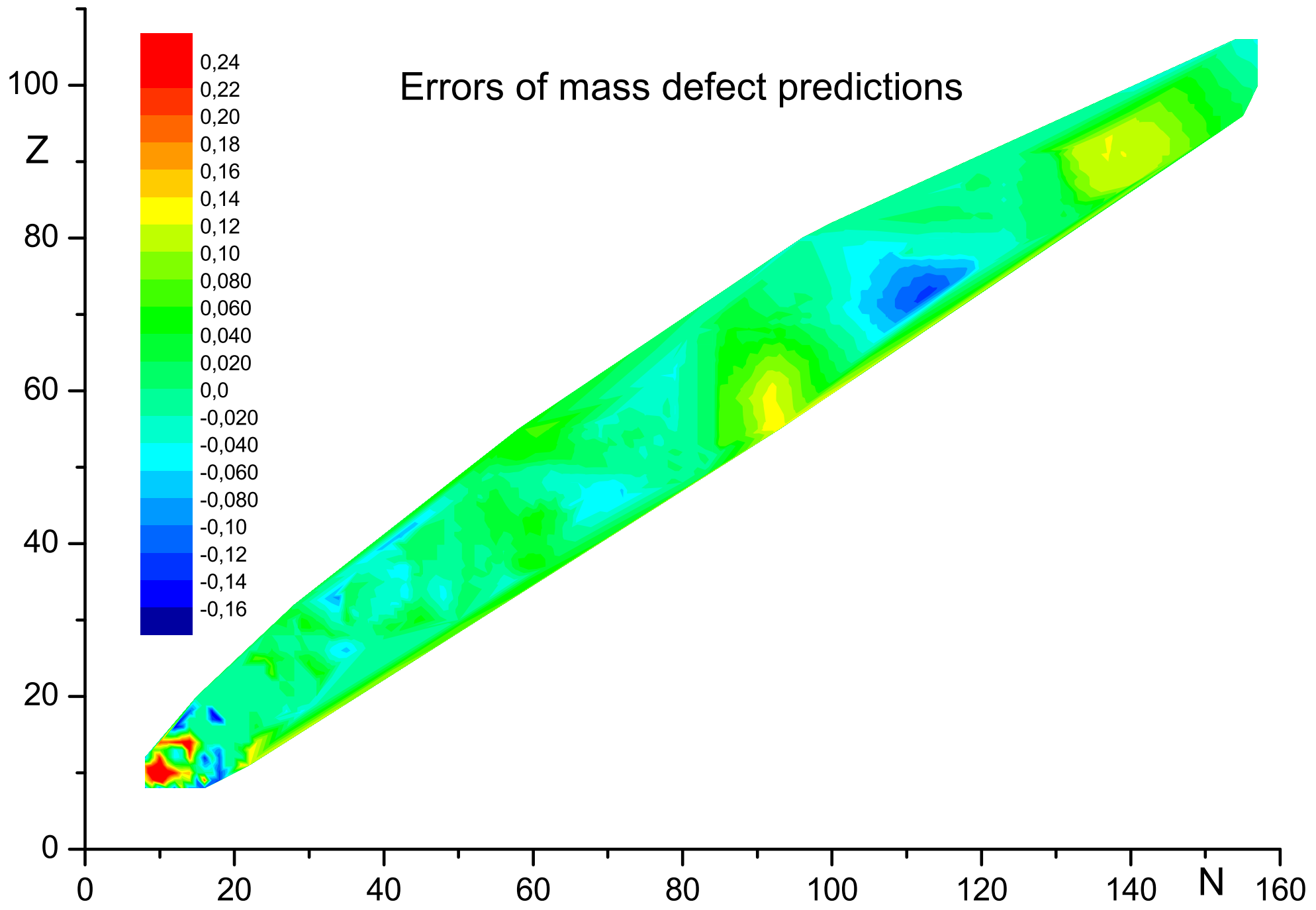
By using this method was

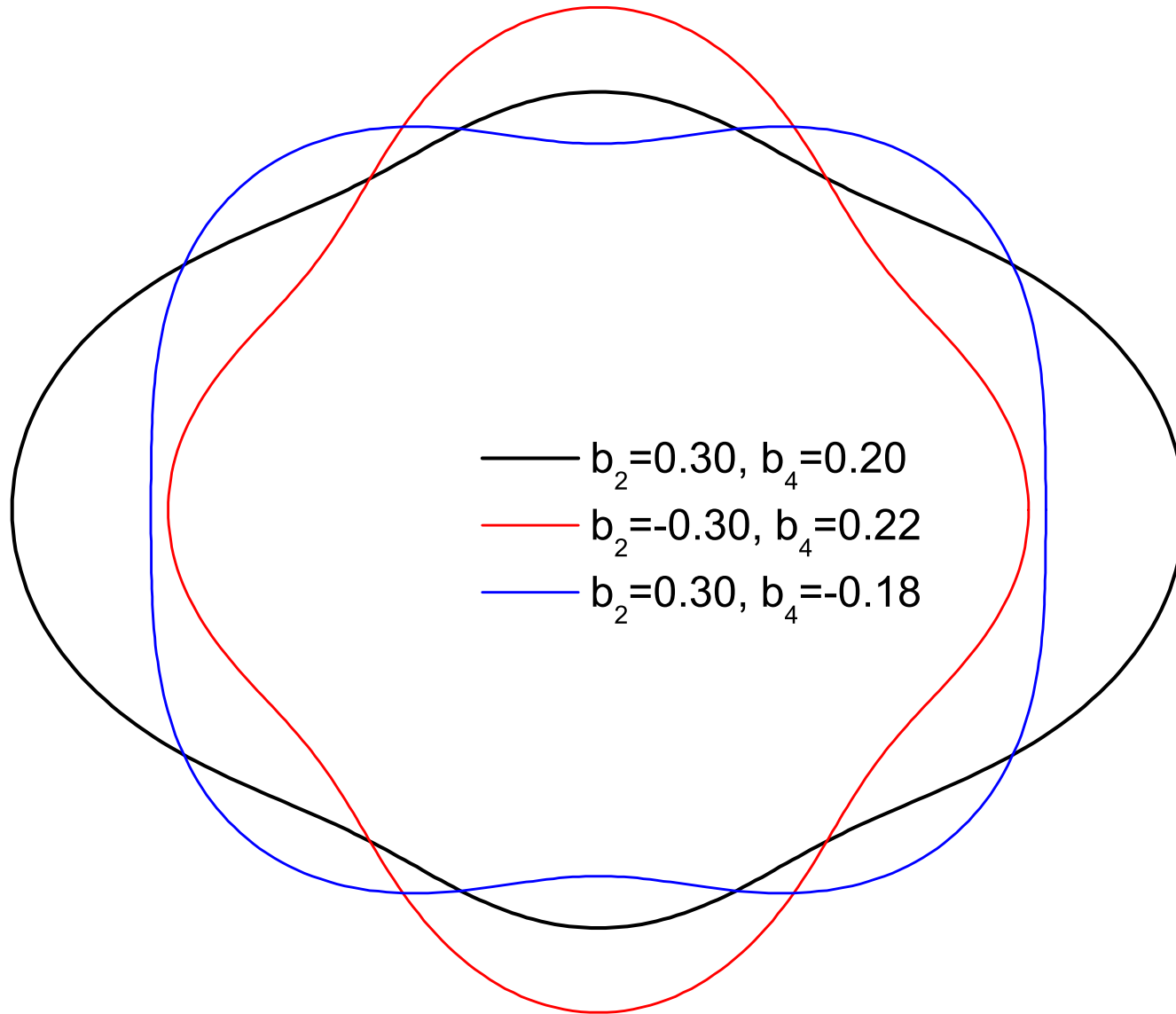
- built the mass formulas with extremely high precision ~ 0.5 MeV;
- evaluated the equilibrium deformation parameters;
- obtained the fission barriers;
- and etc.

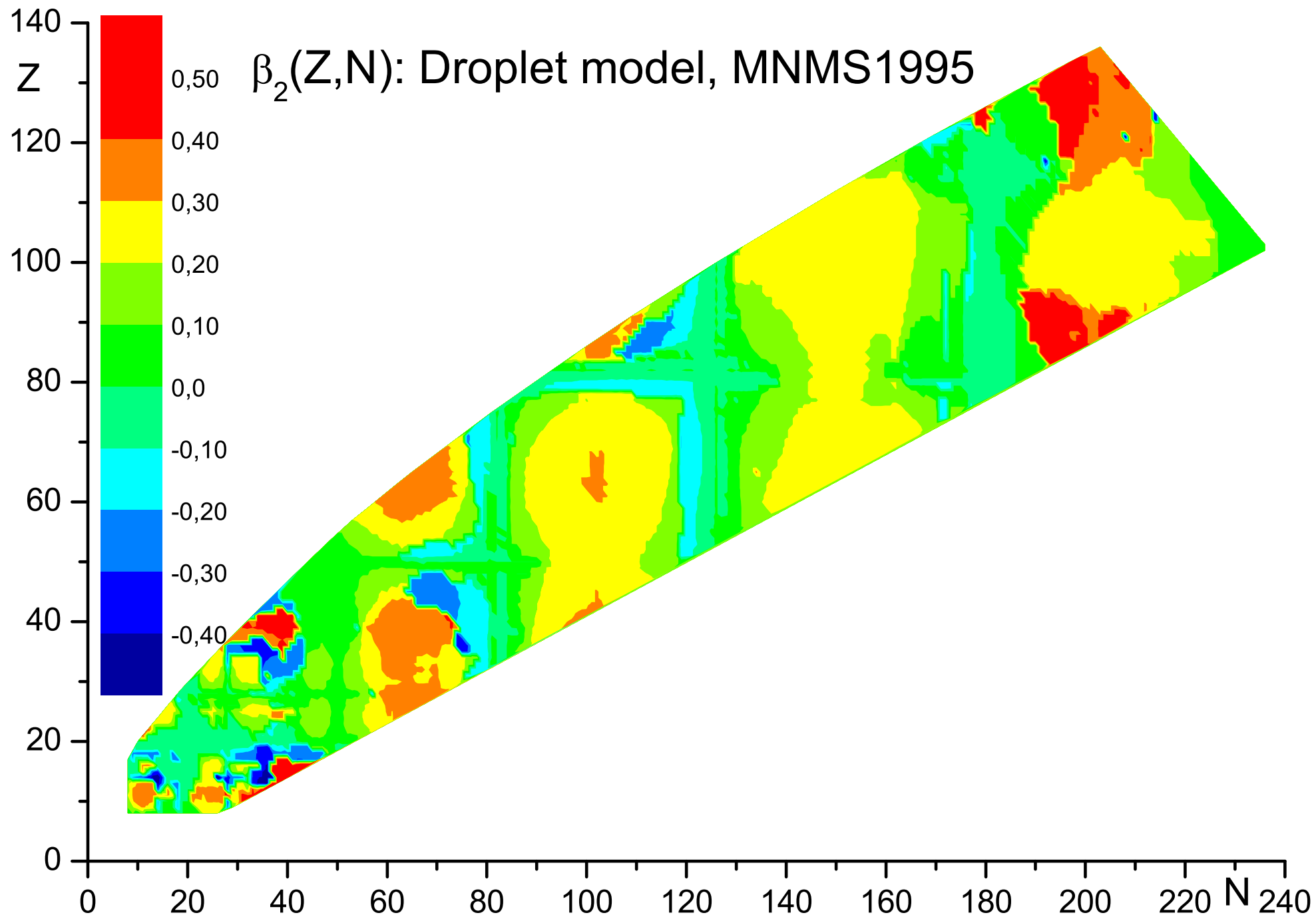
Axial Deformations:

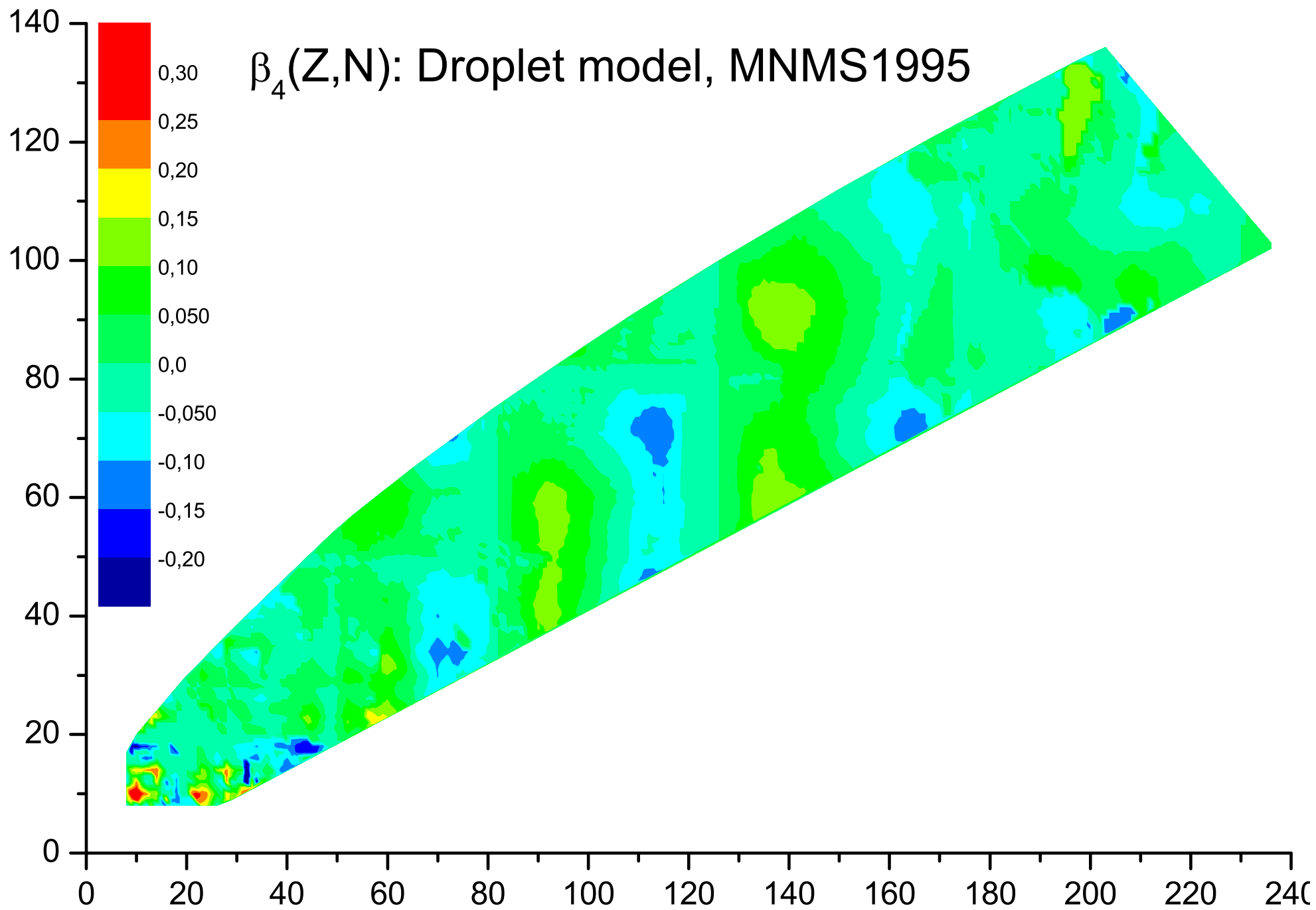
$$R(\theta) = R_0[1 + \beta_2 Y_{20}(\theta) + \beta_4 Y_{40}(\theta)],$$
$$Y_{20}(\theta) = \sqrt{\frac{5}{16\pi}}(3 \cos^2 \theta - 1),$$
$$Y_{40}(\theta) = \frac{9}{256\sqrt{\pi}}(35 \cos^4 \theta - 30 \cos^2 \theta + 3).$$

Errors of mass defect predictions









Non-Axial Deformations:

$$R(\theta, \varphi) = R_0[1 + \beta_2 Y_{20}(\theta) + \beta_{22}(Y_{22}(\theta, \varphi) + Y_{2-2}(\theta, \varphi))],$$
$$Y_{22}(\theta, \varphi) = \sqrt{\frac{3 \cdot 5}{32\pi}} \sin^2 \theta e^{2i\phi},$$
$$Y_{2-2}(\theta, \varphi) = \sqrt{\frac{3 \cdot 5}{32\pi}} \sin^2 \theta e^{-2i\phi}.$$

Beta vibrations $\beta_2 = \beta_{20} + \beta_{20}^t \cos(\omega_\beta t)$, ω_β is the frequency of beta-vibrations.

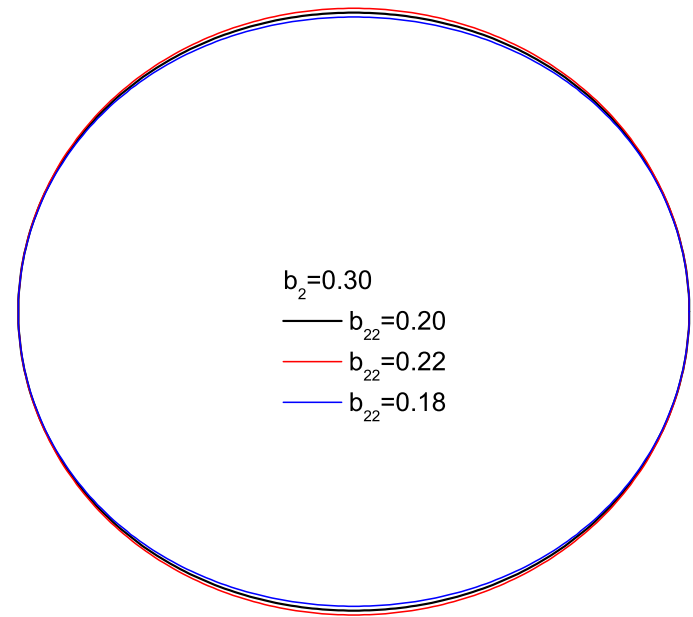
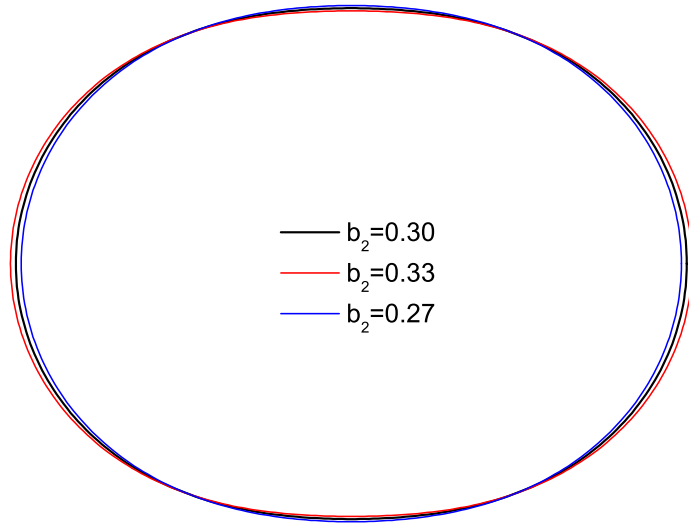
Classical Hamiltonian is

$$H = \frac{\mathcal{M}_\beta}{2} \left(\frac{d\beta_{20}^t \cos \omega_\beta t}{dt} \right)^2 + \frac{\mathcal{C}_\beta}{2} (\beta_{20}^t \cos \omega_\beta t)^2.$$

If $\beta_{22} = \beta_{22}^0 + \beta_{22}^t \cos \omega_\gamma t$ - gamma vibrations, ω_γ is the frequency of gamma-vibrations.

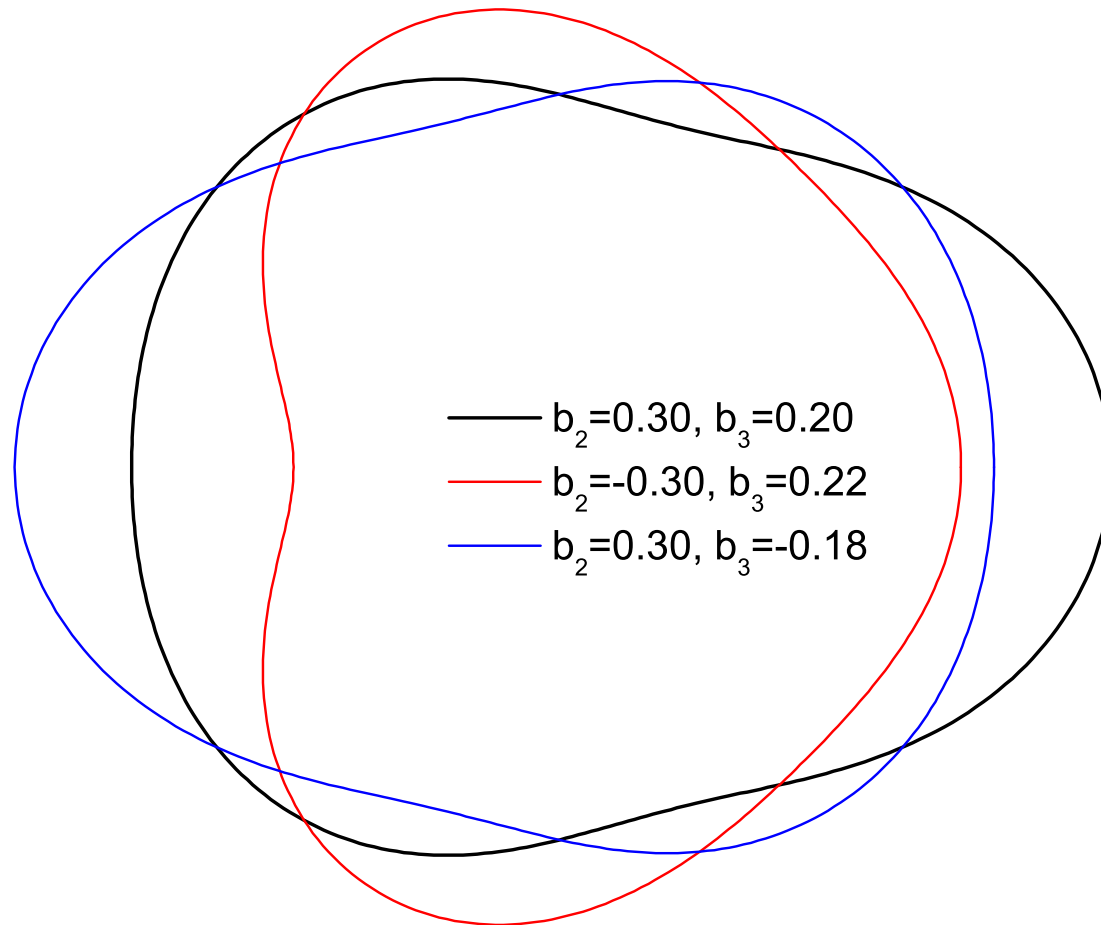
Classical Hamiltonian is

$$H = \frac{\mathcal{M}_\gamma}{2} \left(\frac{d\beta_{22}^t \cos \omega_\gamma t}{dt} \right)^2 + \frac{\mathcal{C}_\gamma}{2} (\beta_{22}^t \cos \omega_\gamma t)^2.$$



Axial Reflection Asymmetric Deformation (Pear-shaped)

$$R(\theta) = R_0[1 + \beta_2 Y_{20}(\theta) + \beta_3 Y_{30}(\theta)],$$
$$Y_{30}(\theta) = \sqrt{\frac{7}{64\pi}}(5 \cos^2 \theta - 3) \cos \theta.$$



Non-Axial Reflection Asymmetric Deformation (Banana-shaped)

$$R(\theta) = R_0[1 + \beta_2 Y_{20}(\theta) + \beta_3 Y_{30}(\theta) + \beta_{31}(Y_{31}(\theta, \varphi) - Y_{3-1}(\theta, \varphi))],$$
$$Y_{31}(\theta) = -\sqrt{\frac{3 \cdot 7}{16\pi}}(5 \cos^2 \theta - 1) \sin \theta e^{i\phi},$$
$$Y_{3-1}(\theta) = \sqrt{\frac{3 \cdot 7}{16\pi}}(5 \cos^2 \theta - 1) \sin \theta e^{-i\phi}.$$

R. R. Chasman, Physics Letters B, Volume 266, Issues 3-4, 29 August 1991, Pages 243-248.

The effects of $Y_{3\pm 1}(\theta, \varphi)$ deformations on the energy surface of nuclides in the $A = 190$ region has been studied. Many nuclides with superdeformed and hyperdeformed minima have been found.

The states associated with these minima are found to be near yrast at $I = 40$.

There are various more accurate approaches to description of atomic masses

- Thomas-Fermi + Strutinsky Shell Corrections
- Extended Thomas-Fermi + Strutinsky Shell Corrections
- Hartree-Fock and Hartree-Fock-Bogoliubov
- Relativistic Mean Field Theory

7. Fission of Nuclei.

Fission on two fragments, energy condition:

$$\text{Released Energy at Fission} = E(Z, N) - E(Z_1, N_1) - E(Z_2, N_2).$$

Action:

$$\mathcal{A}(E) = (2/\hbar) \int_a^b \sqrt{2\mu(s)(\mathcal{V}(s) - E)} ds,$$

where s is the fission trajectory in the deformation space $\beta_2, \beta_3, \dots, \beta_\ell$, $\mu = \sum_{\ell, \ell'} B_{\ell, \ell'} \frac{d\beta_\ell}{ds} \frac{d\beta_{\ell'}}{ds}$.

Transmission coefficient:

$$T(E) = 1/\{1 + \exp[\mathcal{A}(E)]\}$$

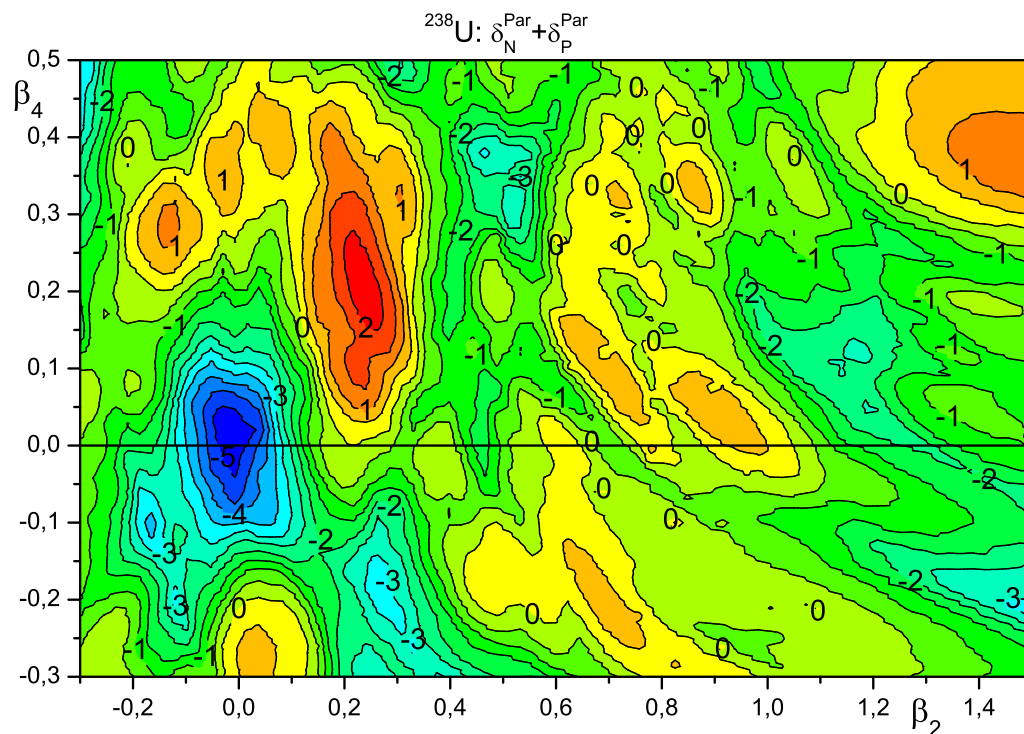
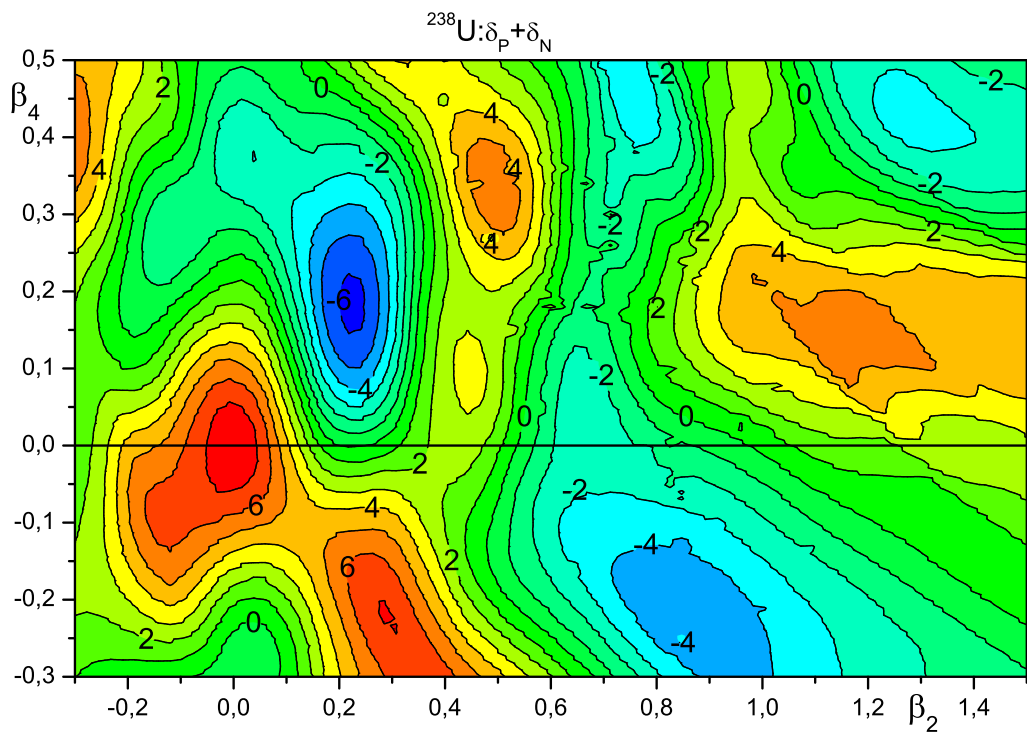
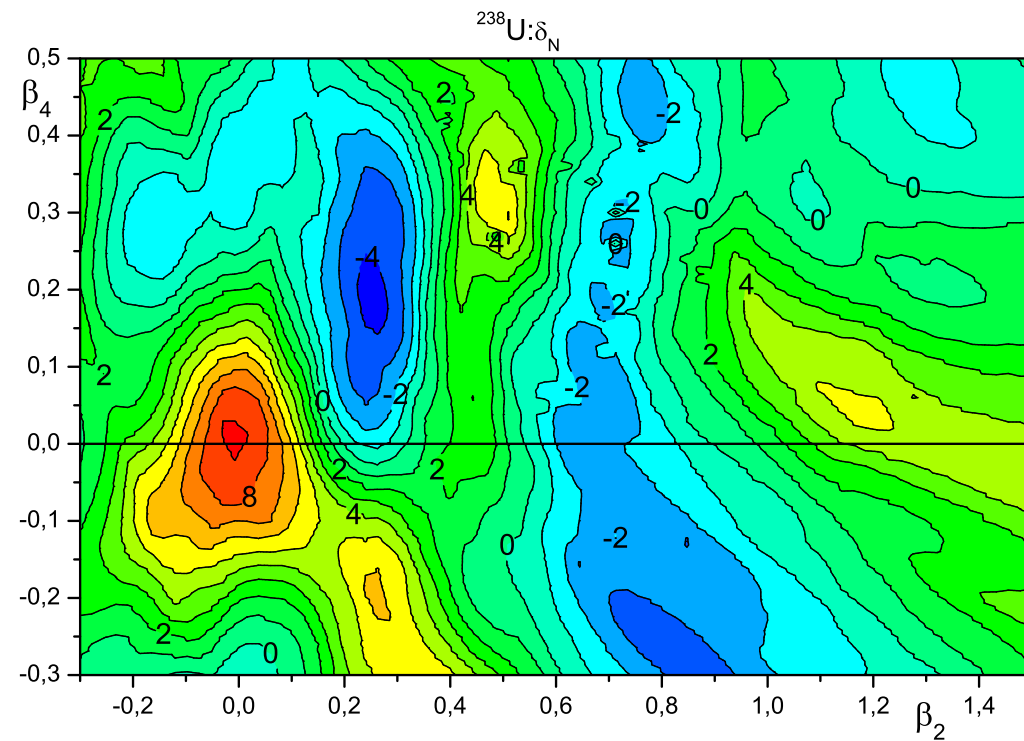
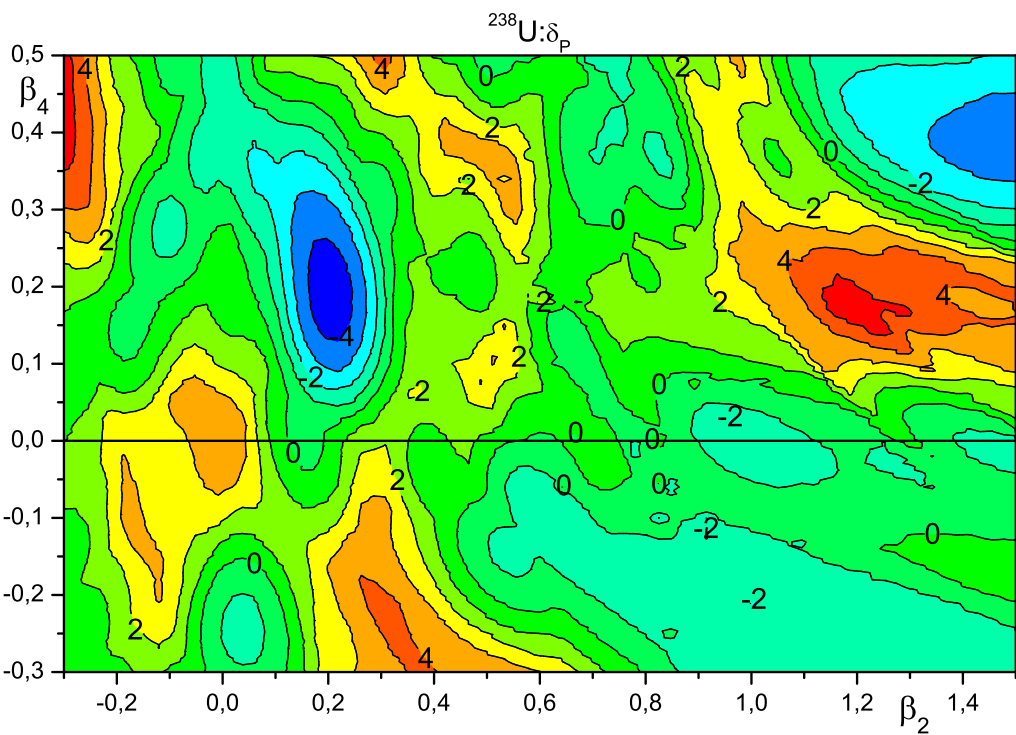
Number of assaults of a nucleus on fission barrier in the unit time $\omega_0/(2\pi)$:

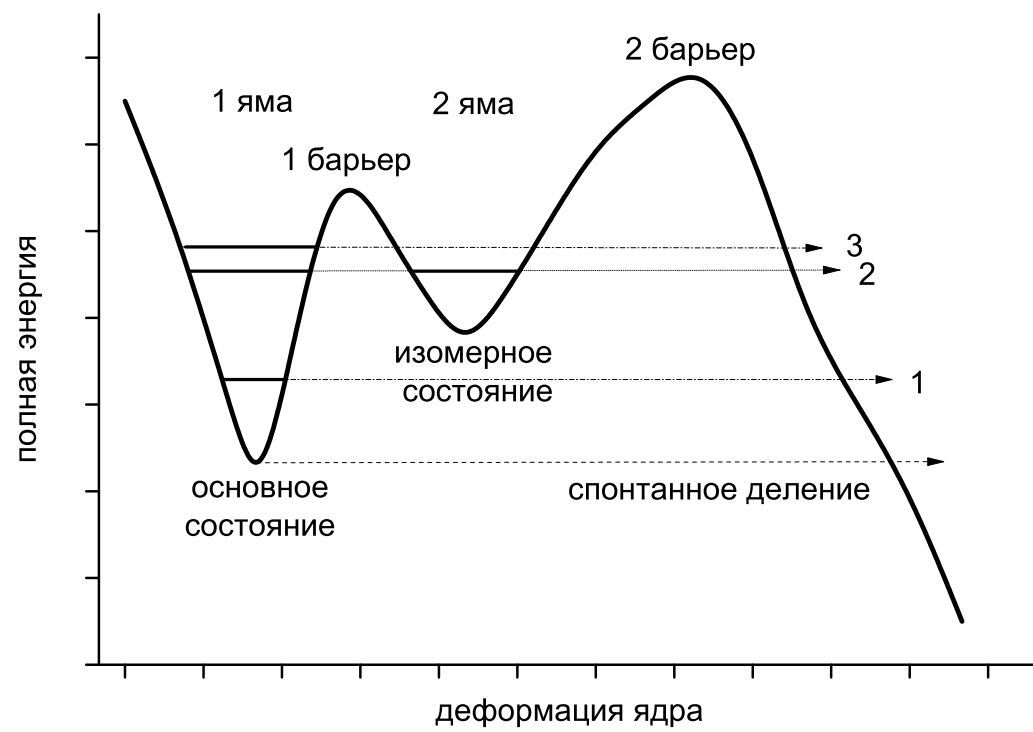
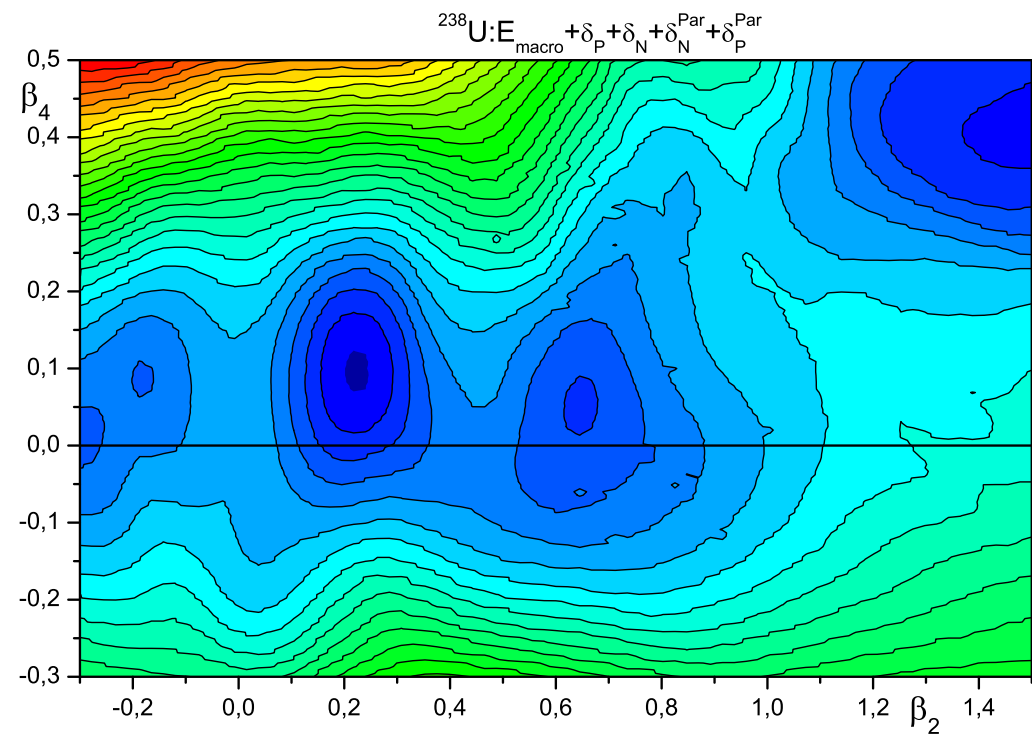
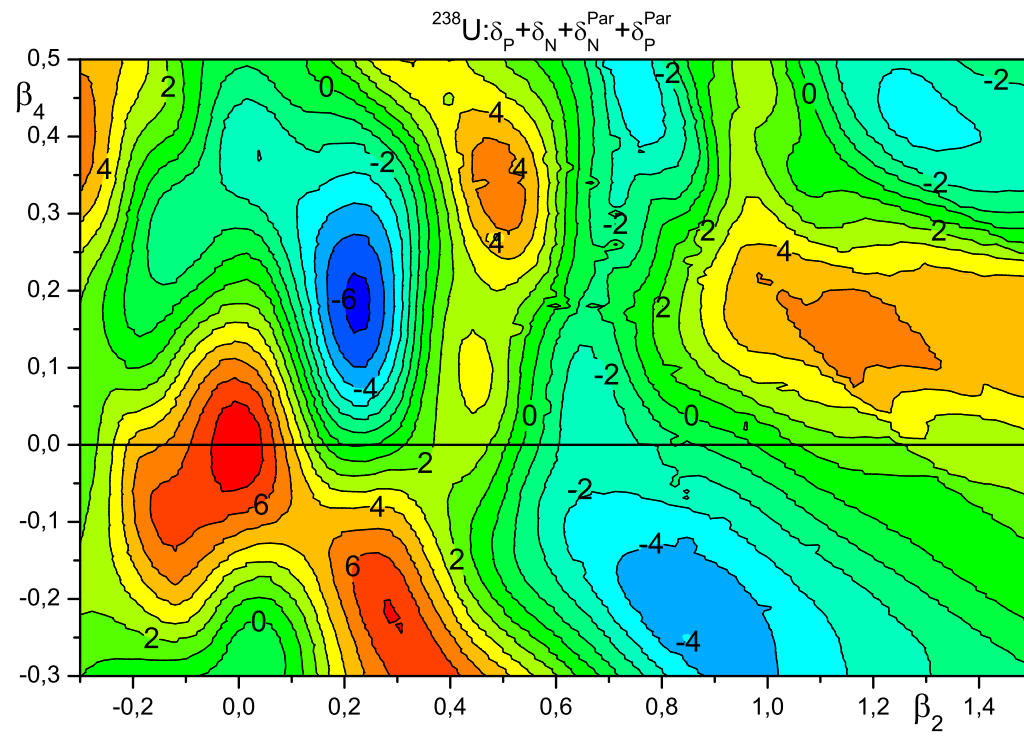
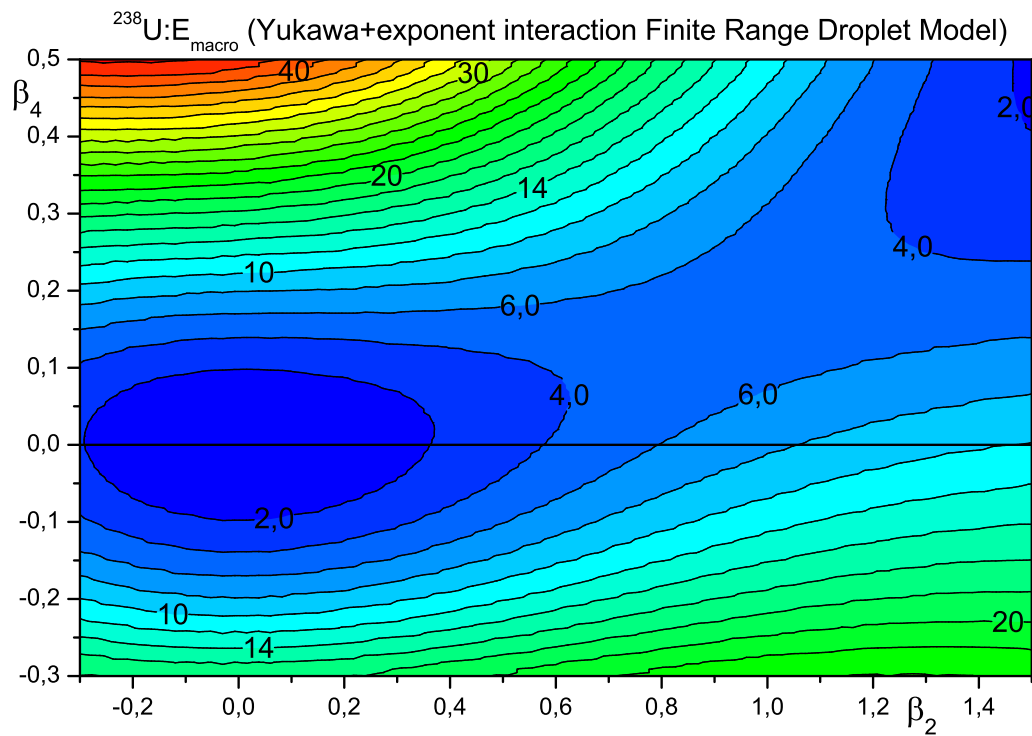
$$\nu_{\text{sf}} = \frac{2\pi \ln 2}{\omega_0},$$

where $E_{zp} = 0.5\hbar\omega_0 \approx 0.7$ MeV.

Fission half-life:

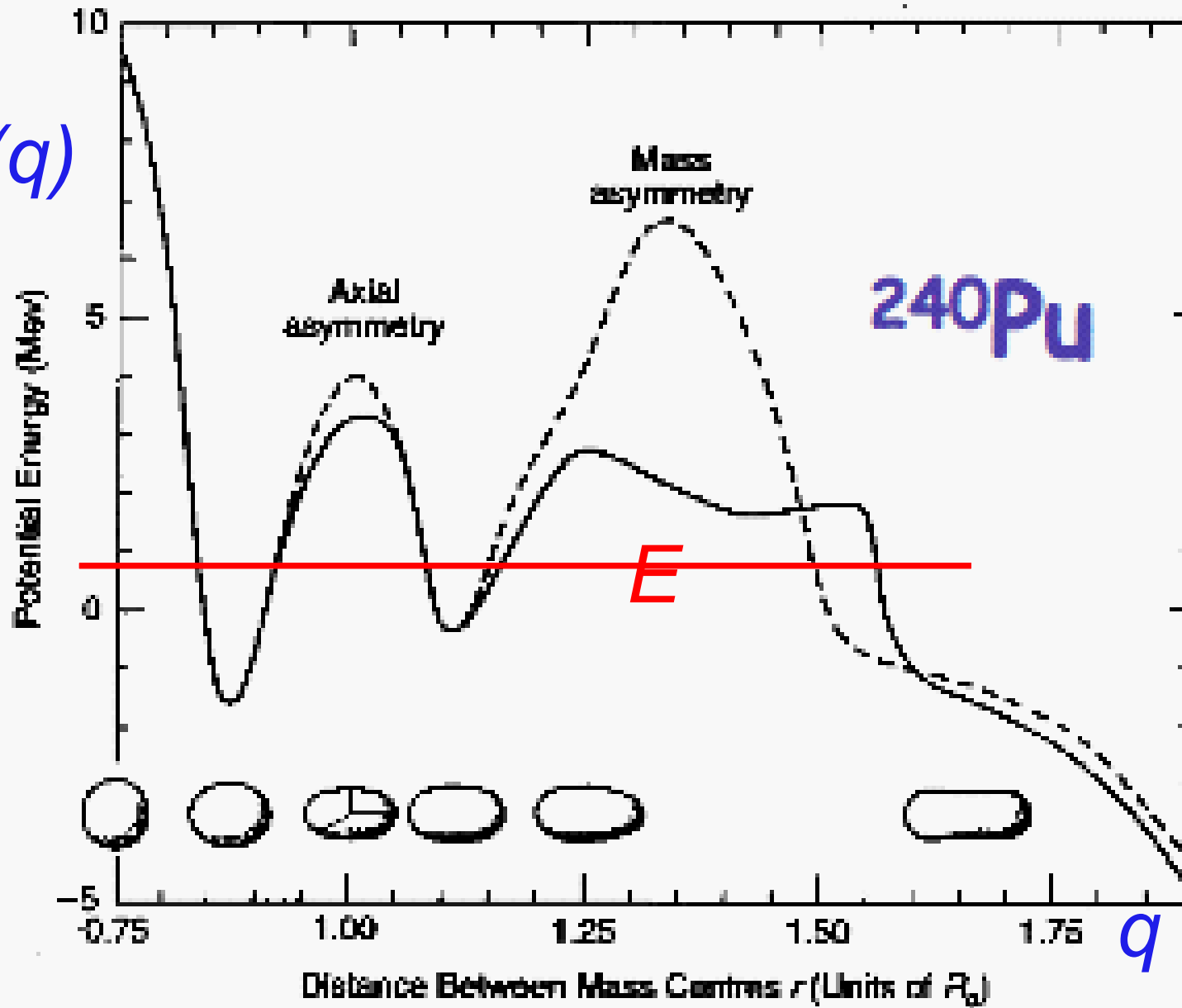
$$t_{sf}(E) = \nu_{\text{sf}}/T(E)$$



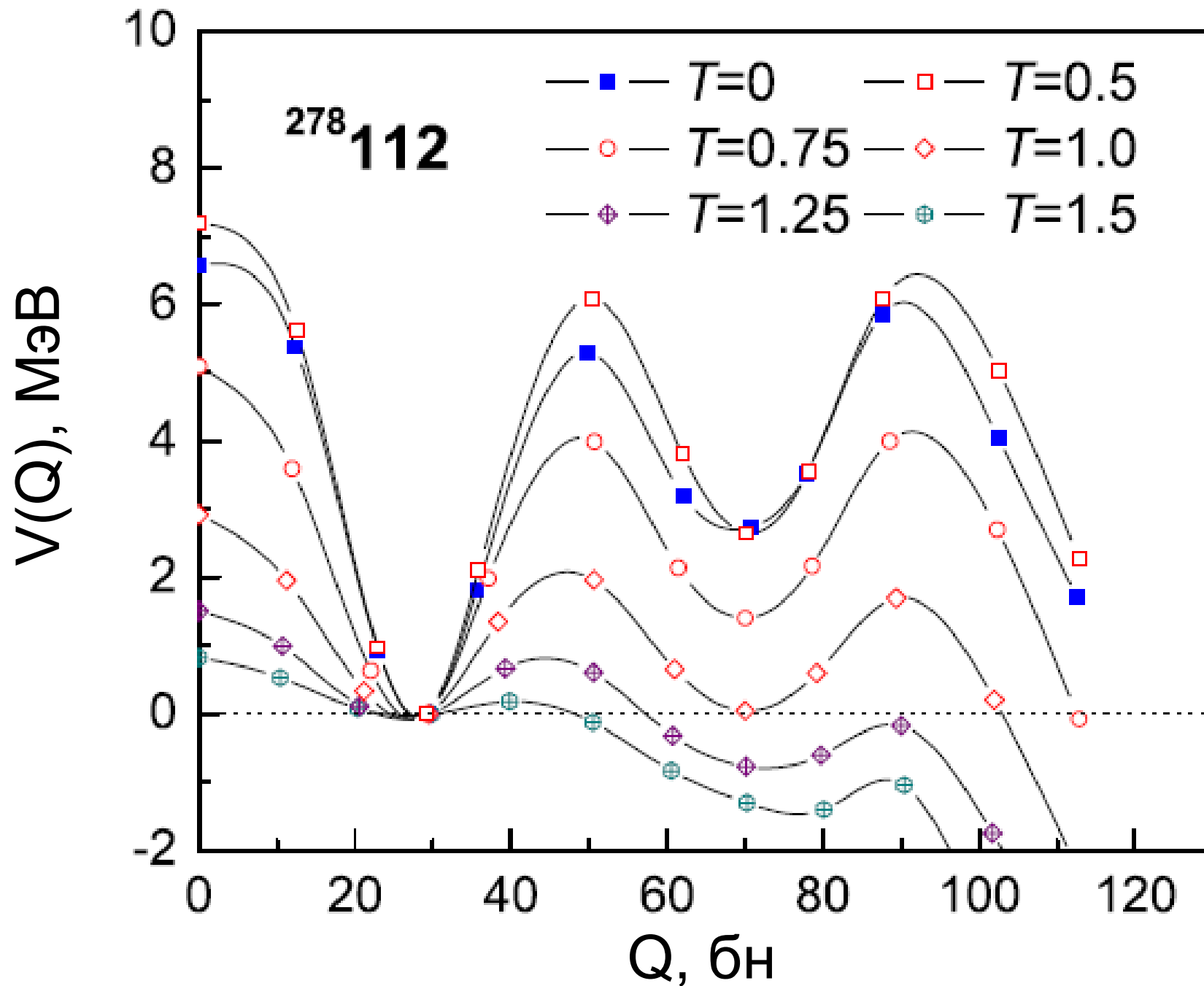


Fission Barrier: importance of dimensionality and symmetries

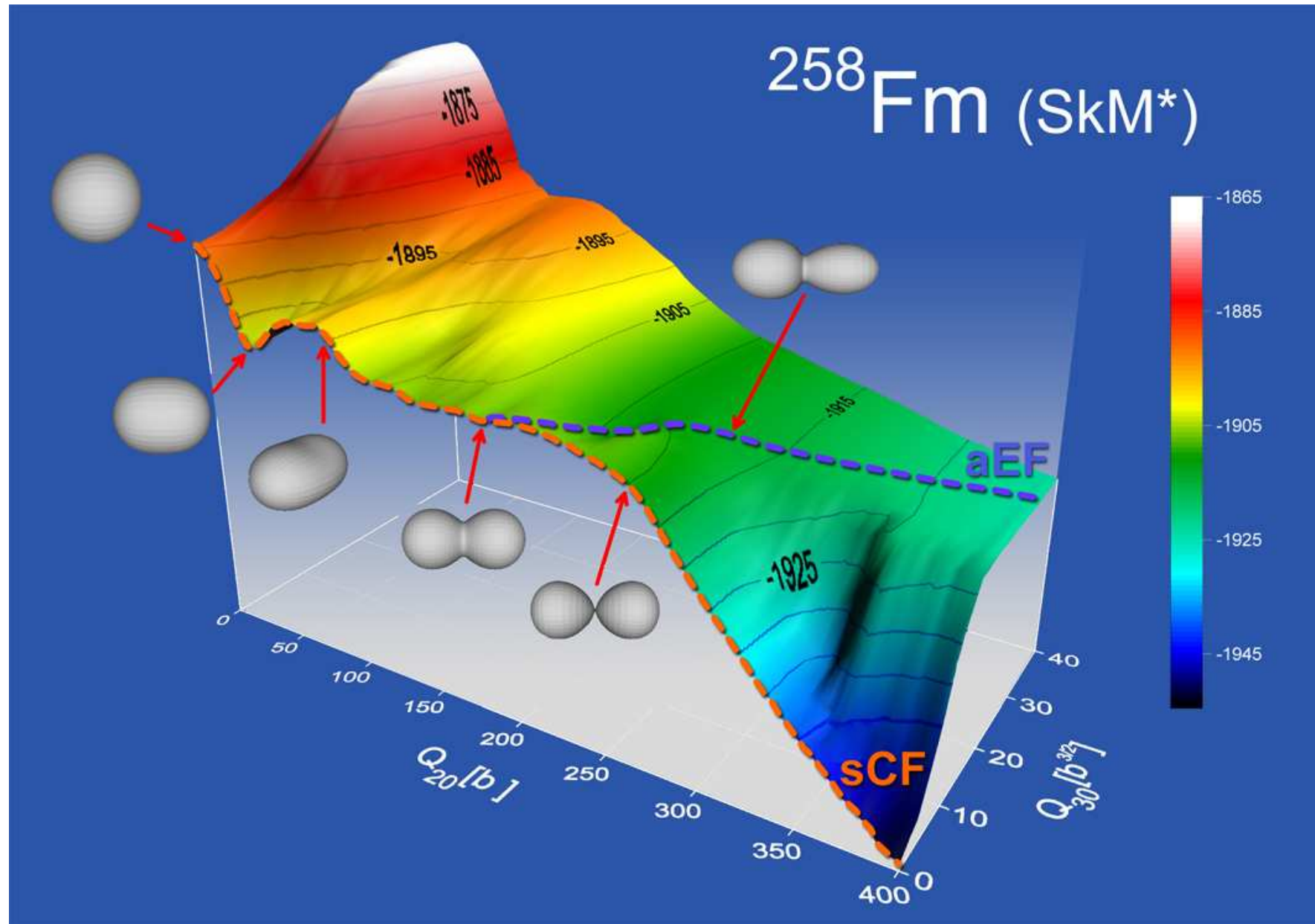
$V(q)$

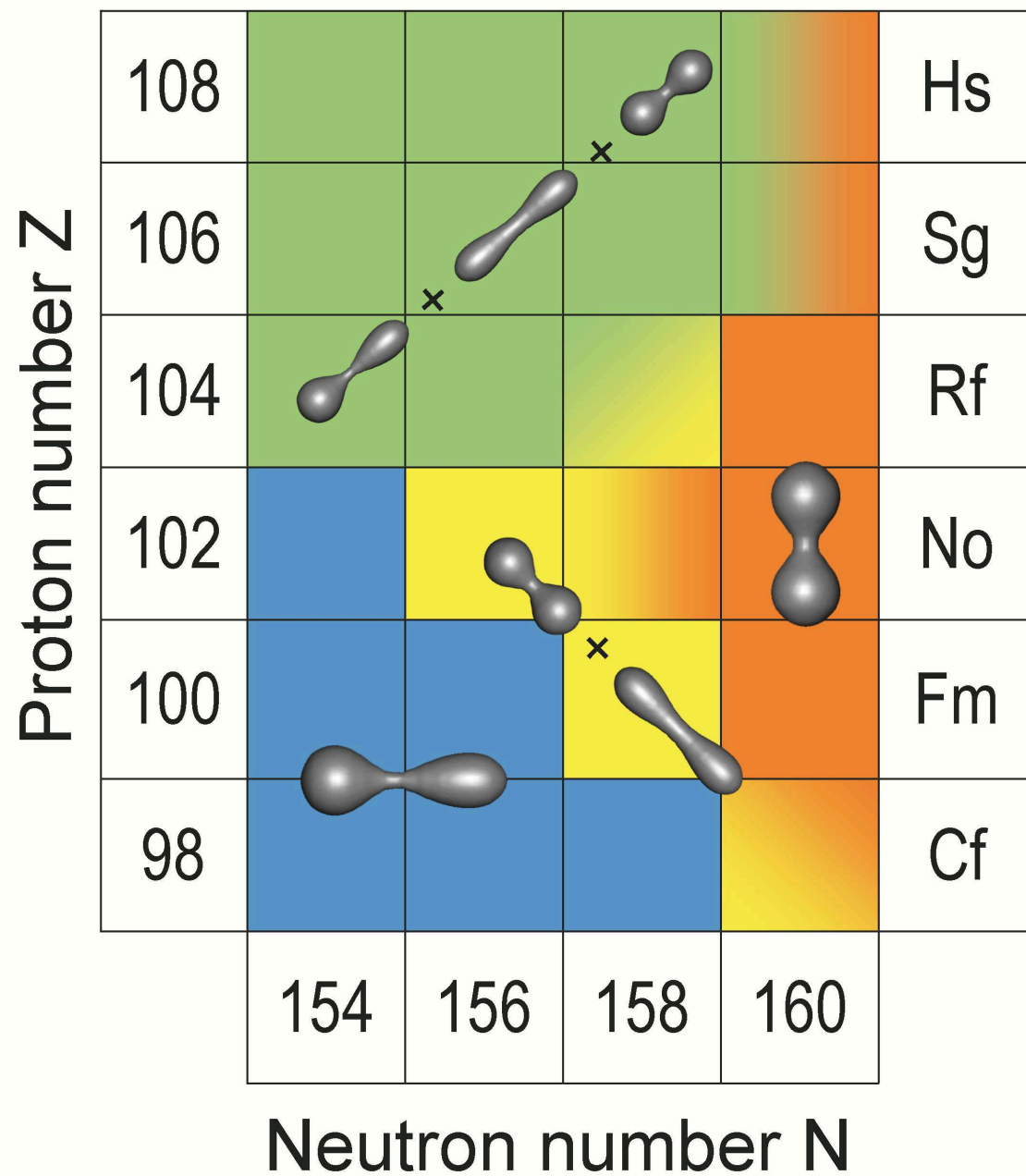


Dependence on the excitation energy $E^* = aT^2$, T – temperature

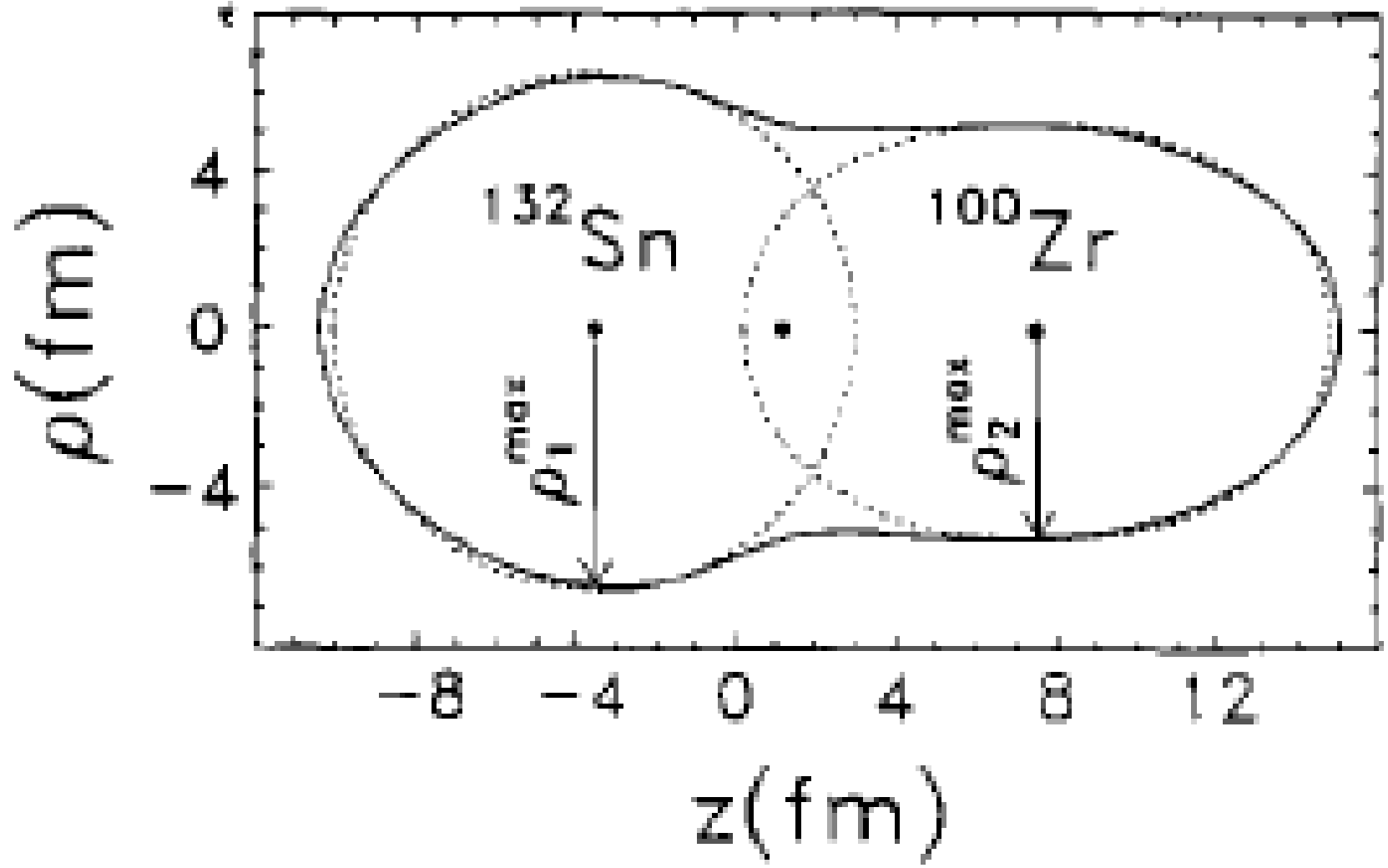


Bimodal fission





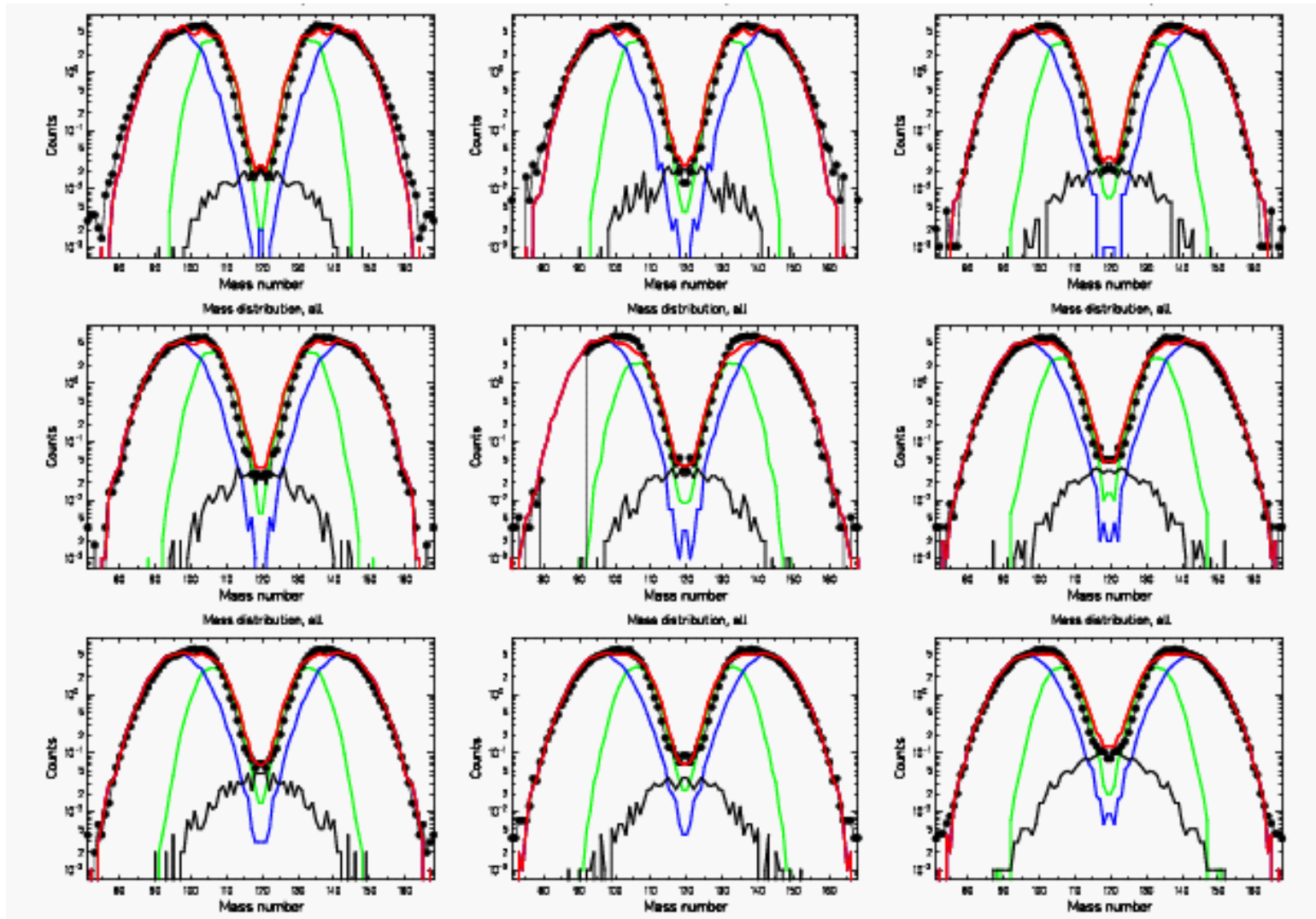
^{232}Th



Fission fragment modes

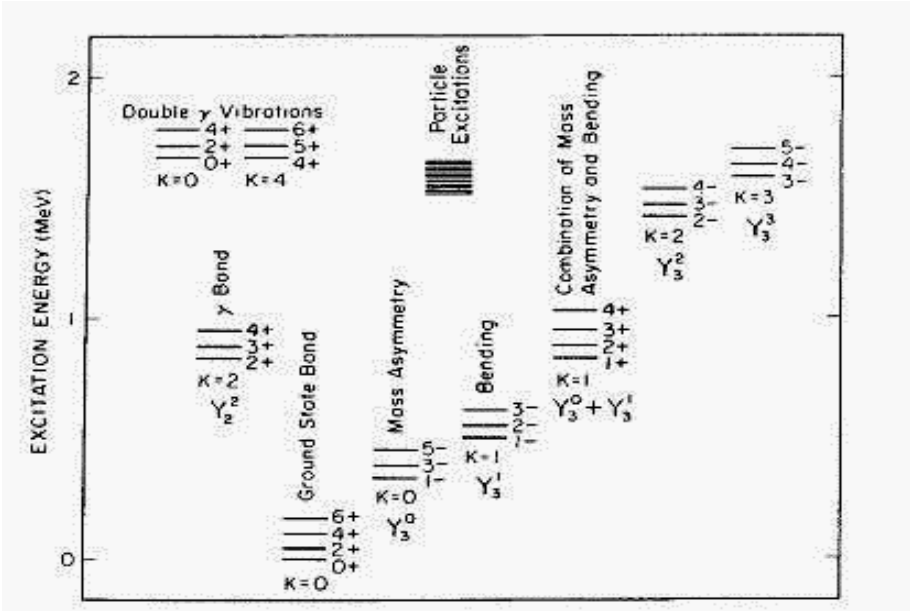
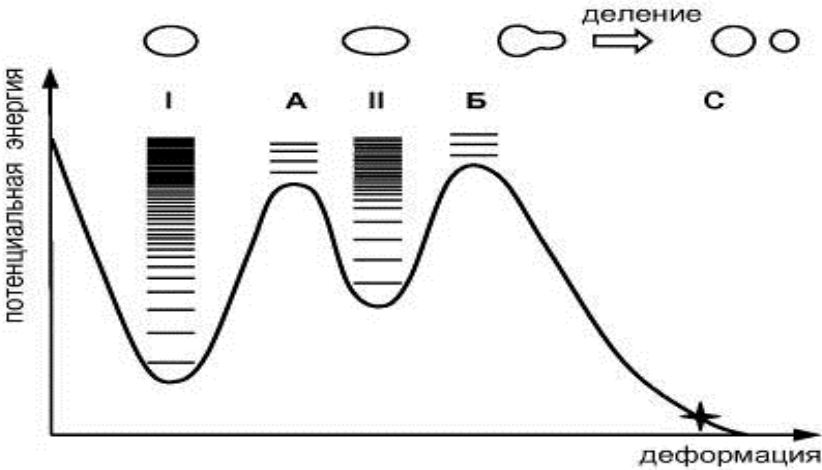
Data - F. Vives et al, Nucl. Phys. A662 (2000) 63;

Lines - Model calculations

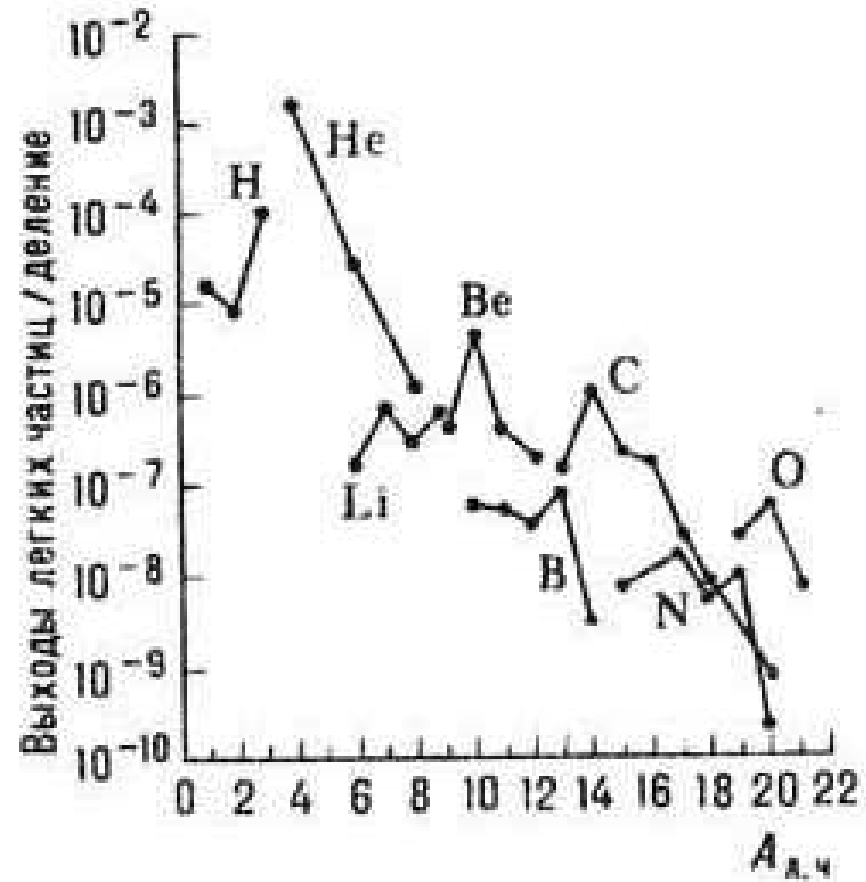
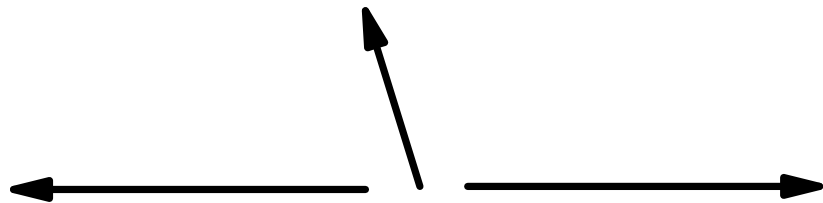


$$Y_{sym}(A) = \frac{1}{\sqrt{\pi}\sigma} \exp\left[-\left(\frac{A-A_0/2}{\sigma}\right)^2\right]; \quad Y_{asym}(A) = \frac{1}{\sqrt{\pi}\sigma} \exp\left[-\left(\frac{A-A_a}{\sigma}\right)^2\right] + \frac{1}{\sqrt{\pi}\sigma} \exp\left[-\left(\frac{A-(A_0-A_a)}{\sigma}\right)^2\right]$$

Fission isomers



Ternary fission: 1 event for few hundreds of binary fission events



Typically the probabilities for ternary (α and etc) and quaternary ($\alpha+\alpha$ or $\alpha+t$ or $t+t$) fission

are $\sim 10^{-3}$ per fission and 10^{-7} per fission, respectively.

Thanks for your attention!